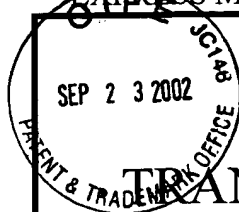


9-24-02

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EXPRESS MAIL MAILING LABEL NO. EL953993682US

TRANSMITTAL
FORM

Application Serial Number	09/423,905
Filing Date	March 19, 1999
First Named Inventor	Tani
Group Art Unit	1645
Examiner Name	P. Duffy, Ph.D.
Attorney Docket No.	FJN-077
Patent No.	Not applicable
Issue Date	Not applicable

RECEIVED

SEP 30 2002

TECH CENTER 1600/2900

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input checked="" type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input checked="" type="checkbox"/> Petition for Extension of Time

<input type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of IDS Citations

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)

<input checked="" type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard

<input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8

<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)

Transmittal of Formal Drawing(s) (1 pg.); and

English translation of priority document JP 70914/1998 with statement from translator (14 pgs.). |
|---|---|--|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Brian A. Fairchild
 Agent for Applicant(s)
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

Date: September 23, 2002
 Reg. No. 48,645
 Tel. No.: (617) 248-7697
 Fax No.: (617) 248-7100

FREE TRANSMITTAL
FY 2002

Complete if Known

Application Serial Number	09/423,905
Filing Date	March 19, 1999
First Named Inventor	Tani et al.
Group Art Unit	1645
Examiner Name	P. Duffy, Ph.D.
Attorney Docket No.	FJN-077

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SEP 30 2002

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METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION**1. FILING FEE**

Large Entity Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	
330	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
--------------	--------------	------	--------

Total Claims	- 20 =	x \$ 18.00 =
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Independent Claims	- 3 =	x \$ 84.00 =
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☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL:
 SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$)

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total 20	- 20 =	0	x \$ 18.00 =	0.00
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Indep. 1	- 3 =	0	x \$ 84.00 =	0.00
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☐ First Presentation of Multiple Dep. Claim + \$280.00 = 0.00

TOTAL: (\$0.00)
 SMALL ENTITY DISCOUNT: (\$0.00)

SUBTOTAL (2) (\$0.00)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	400.00
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$ 400.00)

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 400.00

TOTAL (\$ 400.00)

CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator
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Respectfully submitted,

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PATENT
Attorney Docket No. FJN-077

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#18
Linda
10/2/02

APPLICANT(S):	Tani et al.	BATCH NO.:	
SERIAL NO.:	09/423,905	GROUP NO.:	1645
FILING DATE:	March 19, 1999	EXAMINER:	P. Duffy, Ph.D.
TITLE:	Therapeutic Agent for Preventing and/or Treating Sepsis		

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Attached please find:

- (a) the formal drawing for this application - Number of Sheets - 1.

Respectfully submitted,

Brian A. Fairchild
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Date: September 23, 2002
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